Substitute for form 1449A/PTO		Complete if Known				
		Application Number	not yet assigned			
information D	isclosure	Filing Date	March 23, 2004			
STATEMENT BY	APPLICANT	First Named Inventor	Liu, Hong			
		Art Unit	not yet assigned			
(use as many sheets	as necessary)	Examiner Name	not yet assigned			
Sheet 1 of	3	Attorney Docket Number	021288-002710US			

		<u></u>		U.S.	PATENT DO	CUME	NTS+			• 🗷	
		Document Num	ther								
Examiner Initials*	Cite No.	Number Kind C	ode ² (if known)	Publi MM	cation Date -DD-YYYY	Appli	lame of Paten cant of Cited I	tee or Document	Page Rele	es, Columns, Lines, Wher vant Passages or Releva Figures Appear	re int
RA	1	US-4,499,2	95	02-	02-12-1985		Mueller et	al.			
RA	2	US-5,374,6	23	12-	20-1994	Zi	mmerman	et al.			
RA	3	US-5,574,0	64	11-	12-1996		Shibata et	al.			
RA	4	US-5,691,3	68	11-	25-1997		Peet et a	ıl.			
RA	5	US-5,723,4	69	03-	03-1998		Shibata et	al.			
RA	6	US-5,849,7	11	12-	15-1998		Tung et a	3l.			
RA	7	US-5,858,9	82	01-	12-1999		Tung et a	al			
RA	8	US-5,916,8	87	06-	29-1999		Singh et	al.			
RA	9	US-5,998,4	70	12-	07-1999		Halbert et	al.			
RA	10	US-6,004,9	33	12-	21-1999		Spruce et	al. `	5		
RA	11	US-6,030,9	46	02-	29-2000		Klaus et	ai.			
RA	12	US-6,057,3	62	05-	02-2000		Yamashi	ta			
RA	13	US-6,232,3	42 B1 ·		15-2001		Carr et a				
RA	14	US-6,274,3	36 B1		14-2001	Ab	del-Meguio	d et al.			
RA	15	US-6,331,5			18-2001	Carr et al.					
RA	16	US-6,353,0			05-2002	Altmann et al.					
RA	17	US-6,369,0			04-09-2002		Marquis et al.				
RA	18	US-6,395,8			05-28-2002		Cywin et				
RA	19	US-6,420,3			7-16-2002 Emmanuel et al.						
RA	20	US-6,455,5	02 B1	09-	24-2002		Bryant et	al.	,		
				FOREIG	SN PATENT	DOCU	MENTS				
		Fore	ign Patent Docum	ent						Pages, Columns, Lines, Where	
Examiner Initials*	Cite No. ¹	Country Code ³	Number ⁴	Kind Code ^b (ii known)	Publication I MM-DD-YY			Patentee or Cited Docur	Applicant of nent	Relevant Passages or Relevant Figures Appear	10
RA	21	wo	98/00171	A2	01-08-19	98	Redcell	Canada In	C.		
RA	22	wo	00/51998	A1	09-08-20	00	Pharmad	Boehringer Ingelheim Pharmaceuticals, Inc.			
RA	23	wo	01/09110	A1	02-08-20	01	Pharmad	er Ingelhe ceuticals, I	nc.		
RA	24	wo	01/19816	A1	03-22-2001 Boehringer Ir Pharmaceuti		ceuticals, l	nc.	<u> </u>		
RA	25	wo	02/14314	A2	02-21-2002 Ortho McN						
RA	26	wo	02/14315	A2	03-32-20		Inc.		naceutical.	<u> </u>	
RA	27	wo	02/14317	A2	02-21-20		Inc.				
RA	28	wo	02/051983	A2	07-04-20		Celera				.
RA	29	WO	02/070519	A1	09-12-20		PE Corp			L	
RA	30	wo	98/50534	A1	11-12-19	98	Smithklir	ne Beecha	m Corp.		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 801.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emparor must precede the serial number of the patent document. Sind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitu	te for form 1449A/PT	ro		Complete If Known			
				Application Number	not yet assigned		
INFO	DRMATION	I DI	SCLOSURE	Filing Date March 23, 2004			
STA	TEMENT E	BY A	IPPLICANT	First Named Inventor	Liu, Hong		
				Art Unit	not yet assigned		
(use as many sheets as necessary)				Examiner Name	not yet assigned		
Sheet 2 of 3			3	Attorney Docket Number	021288-002710US		

	U.S. PATENT DOCUMENTS+										
		Document Nu	ımber								
Examiner Initials*	Cite No.1	Number Kind	Code ² (if known)		zation Date DD-YYYY		Name of Patentee or ficant of Cited Document		s, Columns, Lines, Where ant Passages or Relevant Figures Appear		
RA	31	wo	02/070517	A2	09-12-20	02	Merck Frosst Canad				
RA	32	wo	02/069901	A2	09-12-20	02	Merck Frosst Canadal.	da & Co. et			
RA	33	wo	00/48993	A1	08-24-20	00	Novartis AG				
RA	34	wo	03/013518	A1	02-20-20	03	Smithkline Beechan	n Corp.			
RA	35	wo	01/09110	A1	02-08-20	01	Boehringer Ingelhei Pharmaceuticals, In				
RA	36	wo	03/020287	A2	03-13-20	03	Novartis AG				

Examiner Signature	/Rebecca Anderson/	Date Considered	11/29/2006

Substitute for form 1449B/PTO	Complete if Known				
INFORMATION DIOCE COURT	Application Number	not yet assigned			
INFORMATION DISCLOSURE	Filing Date	March 23, 2004			
STATEMENT BY APPLICANT	First Named Inventor	Liu, Hong			
	Art Unit	not yet assigned			
(use as many sheets as necessary)	Examiner Name	not yet assigned			
Sheet 3 of 3	Attorney Docket Number	021288-002710US			

		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	
Examiner Initials *	Cite No. ¹	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
RA	37	BANIA, J. et al.: "Human cathepsin S, but not cathepsin L, degrades efficiently MHC class II-associated invariant chain in nonprofessional APCs" PNAS; Vol. 100, No. 11; pp. 6664-6669 (May 27, 2003)	
			_
		•	
•			

Examiner Signature	/Rebecca Anderson/	Date Considered	11/29/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-03) Complete if Known Sostitute for form 1449A/PTO 10/807,613 **Application Number** INFORMATION DISCLOSURE March 23, 2004 Filing Date STATEMENT BY APPLICANT Liu, Hong First Named Inventor **Art Unit** 1614 (use as many sheets as necessary) **Examiner Name** 021288-002710US **Attorney Docket Number** Sheet

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
RA	AA	US-5,370,879	12/06/1994	Masterson et al.	
RA	AB	US-2002/0086070 A1	07/04/2002	Kuhrts	
RA	AC	US-2002/0119196 A1	08/29/2002	Parikh et al.	
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-		·	
	Al	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
	AM	US-			
	AN	US-			
	AO	US-			
	AP	US-			
•	AQ	US-	_		
	AR	US-			
	AS	US-		·	·
	AT	US-			

				FOREIGN PA	TENT DOCUME	NTS	•	
Examiner	Cite No.1	Foreign Patent Document				Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*		Country Code ³	Number ⁴	Kind Code [®] (# known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T¢
	AU							
	AV			_				
	AW							
	AX		•					
	AY							
	AZ							
	BA							
	BB							

				•
Examiner Signature	/Rebecca Anderson/	Date Considered	11/29/2006	J

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. It is document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitu	te for form 1449A/P	то			Complete if Known				
					Application Number	10/807,613			
INFO	ORMATIO	N DIS	CLOSUR	Ε	Filing Date	March 23, 2004			
STA	TEMENT	BY AI	PPLICAN	τ	First Named Inventor	Liu, Hong			
0.71				_	Art Unit	1614	<u> </u>		
	(use as many sl	heets as	necessary)		Examiner Name		·		
Sheet	1	of	1		Attorney Docket Number	021288-002710US			

U.S. PATENT DOCUMENTS+										
		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where					
Examiner Initials*	Cite No.	Number Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
·RA	AA	US 6,097,302	05-02-2000	Yamashita						
	AB	US								
	AC	US								
	AD	US-								
	AE	US-								
	AF	US-								
	AG	US-								
	AH	US-								
	Al	US-								
	AJ	US-								
	AK	US-								
	AL.	US-								
	AM	US-								
	AN	US-		·						
	AO	US-								
	AP	US-								
	AQ	US-								
	AR	US-								
	AS ·	US-								
	AT	US-								

	FOREIGN PATENT DOCUMENTS											
Examiner	Cite No.1	Foreign Patent Document		Bublication Bata	Name of Patentee or	Pages, Columns, Lines, Where Relevant						
Initials*		Country Code ³	Number ⁴	Kind Code ⁶ (If known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁸				
	AU											
	AV											
	AW											
	AX			-								
	AY											
	AZ											
	BA			•								
	BB											

already con sided on 1444 of 3123104 Rb

Examiner Signature /Rebecca Anderson/

Date Considered

11/29/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached. 60880043 v1

